

2681

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14990

#19  
811

03-0204

Re Application Of: Masaru Hirata

Serial No.  
09/974,956

Filing Date  
October 11, 2001

Examiner  
Unassigned

Group Art Unit  
2681

Title: **MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN CDMA COMMUNICATION SYSTEM**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

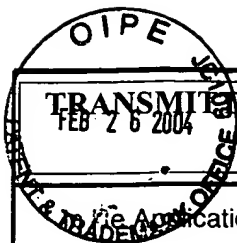
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14990

Inventor's Name: Masaru Hirata

Serial No.  
09/974,956

Filing Date  
October 11, 2001

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Unassigned

Group Art Unit  
2681

**MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN CDMA  
SYSTEM**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on <b>2/23/2004</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
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Paul J. Esatto, Jr.
_____ Typed or Printed Name of Person

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature  
Paul J. Esatto, Jr.  
Registration No. 30,749

Dated: February 23, 2004

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343/4366 Fax

CC:



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Masaru Hirata

**Examiner:** Unassigned

**Serial No.:** 09/974,956

**Art Unit:** 2681

**Filed:** October 11, 2001

**Docket:** 14990

**For:** MOBILE STATION AND  
METHOD FOR ALLOCATING  
FINGER THEREOF IN CDMA  
COMMUNICATION SYSTEM

**Dated:** February 23, 2004

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Commissioner for Patents  
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P.O. Box 1450, Alexandria, VA 22313-1450

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.


1. European Patent Application No. EP 0 924 868A1, published June 23, 1999;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, Virginia 22313-1450 on February 23, 2004.

**Dated:** February 23, 2004

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

2. U.S. Patent No. 5,936,999, dated August 10, 1999, issued to Keskitalo; and
3. International Publication WO 97/40398, published October 30, 1997;

The references were cited in a Search Report received from the European Patent Office, dated December 15, 2003. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

International Publication No. 99/57819, published November 11, 1999 was previously submitted in applicants' Information Disclosure Statement of August 27, 2003.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No. 30,749

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